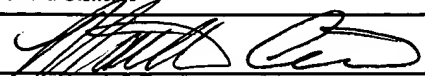


| CERTIFICATE OF MAILING   |   |      |                |
|--|---|------|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:<br>Assistant Commissioner for Patents, Washington, D.C. 20231. |   |      |                |
| Typed or Printed Name  | Martha Cisneros   |      |                |
| Signature  |  | Date | August 8, 2000 |

| <b>REQUEST FOR<br/>CORRECTED FILING<br/>RECEIPT</b><br><br>Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231 | Attorney Docket      | GUID-006CON5                                       |
|--|----------------------|--|
|  | First Named Inventor | Federico J. Benetti et al.                         |
|  | Application Number   | 09/480,826   |
|  | Filing Date          | January 10, 2000                                   |
|  | Group Art Unit       | 3731   |
|  | Examiner Name        | Unassigned   |
|  | Title                | Access Platform For Internal<br>Mammary Dissection |

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The mailing address reads "22249, LYON & LYON LLP, Suite 4700, 633 West Fifth Street, Los Angeles , CA 900712066", but should read --BOZICEVIC, FIELD & FRANCIS, LLP, 200 Middlefield Road, Suite 200, Menlo Park, California, 94025--
- (2) The Attorney Docket No. reads "249/076", but should read --GUID-006CON5--
- (3) The Applicant(s) name reads "Brent Regan, Davis, CA", but should read --Brent Regan, Coeur d'Alene, Idah--

At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

- ☐ Enclosed is a check.
- ☒ Charge Account No. 50-0815.

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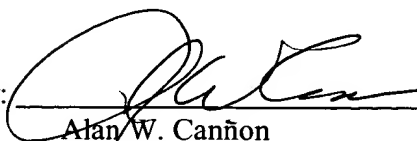
Please return the enclosed postcard stamped with the Patent and Trademark Office date stamp to evidence receipt of this request.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date:

August 8, 2000

By:

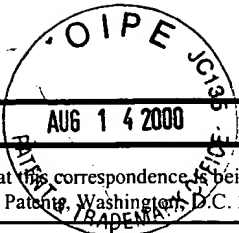


Alan W. Cañon

Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield, Suite 200  
Menlo Park, California 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

F:\DOCUMENT\GUID\006CON5\Request for Corrected Filing Receipt.wpd

Receipt  
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|  |  |                 |  |                        |                |
|--|--|-----------------|--|------------------------|----------------|
| AUG 14 2000  |  |                 |  | CERTIFICATE OF MAILING |                |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 |  |                 |  |                        |                |
| Typed or Printed Name  |  | Martha Cisneros |  |                        |                |
| Signature  |  |                 |  | Date                   | August 8, 2000 |

|   |                      |                                 |
|---|----------------------|---------------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Attorney Docket      | GUID-006CON5                    |
|   | First Named Inventor | Charles S. Taylor               |
|   | Application Number   | 09/480,826                      |
|   | Filing Date          | January 10, 2000                |
|   | Group Art Unit       | 3731                            |
|   | Examiner Name        | Unassigned                      |
|   | Title                | Access Platform for Internal... |

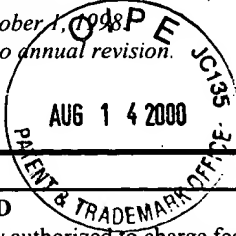
| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Issue Fee Transmittal<br>(with copy of PTOL-85B)  | <input type="checkbox"/> Request for Refund  |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Formal Drawing(s)   | <input type="checkbox"/> After Allowance<br>Communication to Group   |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences   |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Petition Routing Slip and<br>Accompanying Petition  | <input type="checkbox"/> Appeal Communication to<br>Group<br>(Appeal Notice, Brief, Reply Brief)   |
| <input type="checkbox"/> Information Disclosure<br>Statement, 1449 and<br><input type="checkbox"/> references                             | <input type="checkbox"/> To Convert a Provisional<br>Application   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)  | <input type="checkbox"/> Power of Attorney<br><input type="checkbox"/> Revocation<br><input type="checkbox"/> Statement Under 37<br>C.F.R. 3.73(b) | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   | <input type="checkbox"/> Change of Correspondence<br>Address   | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):<br><u>Request for Corrected Filing</u><br><u>Receipt</u><br><u>Return Postcard</u> |
| <input type="checkbox"/> Response to Missing Parts under<br>37 C.F.R. 1.52 or 1.53  | <input type="checkbox"/> Terminal Disclaimer   |  |
|   | <input type="checkbox"/> Small Entity Statement  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                |                  |       |
|--|--------------------------------|------------------|-------|
| Individual Name                            | Alan W. Cannon                 | Registration No. | 34977 |
| Firm Name                                  | BOZICEVIC, FIELD & FRANCIS LLP |                  |       |
| Signature                                  |                                |                  |       |
| Date                                       | August 8, 2000                 |                  |       |

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# FEE TRANSMITTAL

Note: Effective October 1, 1998  
Patent fees are subject to annual revision.



|                        |                           |
|------------------------|---------------------------|
| Attorney Docket Number | GUID-006CON5              |
| First Named Inventor   | Charles S. Taylor, et al. |
| Application Number     | 09/480,826                |
| Filing Date            | January 10, 2000          |
| Group Art Unit         | 3731                      |
| Examiner Name          | Unassigned                |

## METHOD OF PAYMENT

1. ☐ A CHECK IS ENCLOSED
2. ☒ The Commissioner is hereby authorized to charge fees required under 37 C.F.R. 1.16 and 1.17 and credit any overpayments to:  
Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|---------|
| 101            | 690             | 201            | 345             | Utility filing fee     | \$      |
| 102            | 310             | 206            | 155             | Design filing fee      |         |
| 104            | 480             | 207            | 240             | Plant filing fee       |         |
| 109            | 690             | 208            | 345             | Reissue filing fee     |         |
| 110            | 150             | 214            | 75              | Provisional filing fee |         |
| Subtotal (1)   |                 |                |                 |                        | \$      |

### 2. CLAIMS

| No. of claims as filed<br>or after amendment |    | Most claims<br>previously paid |    | Extra<br>claims |   | Fee from<br>below |   | Fee<br>Due |
|--|----|--------------------------------|----|-----------------|---|-------------------|---|------------|
| Total claims                                 | 19 | -                              | 20 | =               | 0 | x                 | = | \$         |
| Ind. claims                                  | 6  | -                              | 3  | =               | 3 | x                 | = | \$         |
| Multiple Dependent claims                    |    |                                |    |                 |   | x                 | = |            |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description                                      |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                               |
| 102            | 78              | 202            | 39              | Independent claims in excess of 3                    |
| 104            | 260             | 204            | 130             | Multiple dependent claim                             |
| 109            | 78              | 209            | 39              | Reissue independent claims over original patent      |
| 110            | 18              | 210            | 9               | Reissue claims in excess of and over original patent |

Subtotal (2) \$

### 3. ADDITIONAL FEES

| Large Fee Code           | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   | Fee Due |
|--------------------------|-----------------|----------------|-----------------|---|---------|
| 105                      | 130             | 205            | 65              | Surcharge - late filing fee or oath                               |         |
| 139                      | 130             | 139            | 130             | Non-English specification   |         |
| 115                      | 110             | 215            | 55              | Ext. for reply within first month                                 |         |
| 117                      | 870             | 217            | 435             | Ext. for reply within third month                                 |         |
| 128                      | 1,850           | 228            | 925             | Ext. for reply within fifth month                                 |         |
| 120                      | 300             | 220            | 150             | Filing brief in support of appeal                                 |         |
| 140                      | 110             | 240            | 55              | Petition to revive - unavoidable                                  |         |
| 142                      | 1,210           | 242            | 605             | Utility issue fee (or reissue)                                    |         |
| 123                      | 50              | 123            | 50              | Petitions related to prov. appl.                                  |         |
| 146                      | 690             | 246            | 345             | Filing submission after final rejection (37 C.F.R. 1.129(a))      |         |
| 112                      | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action            |         |
| 143                      | 430             | 243            | 215             | Design issue fee  |         |
| 581                      | 40              | 581            | 40              | Recording patent assignment                                       |         |
| 127                      | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet            |         |
| 147                      | 2,520           | 147            | 2,520           | Filing a request for reexamination                                |         |
| 116                      | 380             | 216            | 190             | Ext. for reply within second month                                |         |
| 118                      | 1,360           | 218            | 680             | Ext. for reply within fourth month                                |         |
| 119                      | 300             | 219            | 150             | Notice of Appeal  |         |
| 121                      | 260             | 221            | 130             | Request for oral hearing  |         |
| 141                      | 1,210           | 241            | 605             | Petition to revive - unintentional                                |         |
| 122                      | 130             | 122            | 130             | Petitions to the Commissioner                                     |         |
| 126                      | 240             | 126            | 240             | Submission of IDS   |         |
| 149                      | 690             | 249            | 345             | For each additional invention to be examined (37 C.F.R. 1.129(b)) |         |
| 113                      | 1840*           | 113            | 1840*           | Requesting publication of SIR after Examiner action               |         |
| 144                      | 580             | 244            | 290             | Plant issue fee   |         |
| Other fee (specify)      |                 |                |                 |   |         |
| Corrected Filing Receipt |                 |                |                 |   | 25.     |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$25.00

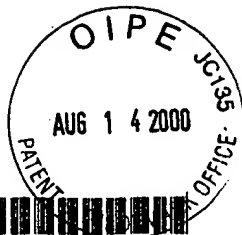
TOTAL AMOUNT ENCLOSED (\$ 25.00)

| SUBMITTED BY          |  |  |  | Complete (if applicable) |         |
|-----------------------|--|--|--|--------------------------|---------|
| Typed or Printed Name | Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP |  |  | Reg. Number              | 34,977  |
| Signature             |  |  |  | Deposit Account          | 50-0815 |
| Date                  | 8/8/00   |  |  |                          |         |

## FILING RECEIPT



\*OC00000005185815\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/480,826         | 01/10/2000  | 3731         | 1054          | 249/076         | 62       | 19         | 6          |
| GUID-006CONS       |             |              |               |                 |          |            |            |

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

Date Mailed: 06/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Charles S. Taylor, San Francisco, CA ;
- ✓ William N. Aldrich, Redwood City, CA ;
- ✓ Federico J. Benetti M.D., Santa Fe, ARGENTINA;
- ✓ Richard S. Ginn, San Jose, CA ;
- ✓ Dwight P. Morejohn, Davis, CA ;
- ✓ Brent Regan, Davis, CA ; → Brent Regan, Coeur d'Alene, Idaho;
- ✓ Eugene E. Reis, San Jose, CA ;
- ✓ Ivan Sepetka, Los Altos, CA ;
- ✓ William F. Witt, Palo Alto, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999  
WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736  
WHICH IS A CON OF 08/787,748 01/27/1997 ABN  
WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171  
WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2000

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U.S. PROSECUTION

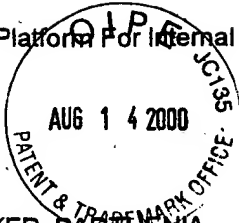
PP 7/20  
DOCKETED

**Title**

Access Platform For Internal Mammary Dissection

**Preliminary Class**

606



Data entry by : WALKER, PARTHENIA

Team : OIPE

Date: 06/19/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

|                                    |  |                     |                               |  |
|------------------------------------|--|---------------------|-------------------------------|--|
| <b>SERIAL NUMBER</b><br>09/480,826 | <b>FILING DATE</b><br>01/10/2000<br><b>RULE</b><br>- | <b>CLASS</b><br>606 | <b>GROUP ART UNIT</b><br>3731 | <b>ATTORNEY DOCKET NO.</b><br>GUID-006CON5 |
|------------------------------------|--|---------------------|-------------------------------|--|

**APPLICANTS**

Charles S. Taylor, San Francisco, CA ;  
 William N. Aldrich, Redwood City, CA ;  
 Federico J. Benetti M.D., Santa Fe, ARGENTINA;  
 Richard S. Ginn, San Jose, CA ;  
 Dwight P. Morejohn, Davis, CA ;  
 Brent Regan, Coeur d'Alene, ID ;  
 Eugene E. Reis, San Jose, CA ;  
 Ivan Sepetka, Los Altos, CA ;  
 William F. Witt, Palo Alto, CA ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999  
 WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736  
 WHICH IS A CON OF 08/787,748 01/27/1997 ABN  
 WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171  
 WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 03/08/2000**

|  |                               |                             |                           |                                |
|--|-------------------------------|-----------------------------|---------------------------|--------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no   | <b>STATE OR COUNTRY</b><br>CA | <b>SHEETS DRAWING</b><br>62 | <b>TOTAL CLAIMS</b><br>19 | <b>INDEPENDENT CLAIMS</b><br>6 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                               |                             |                           |                                |
| Verified and Acknowledged  | Examiner's Signature _____    | Initials _____              |                           |                                |

**ADDRESS**

BOZICEVIC FIELD & FRANCIS LLP  
 200 Middlefield Suite 200  
 Menlo Park ,CA 94025

**TITLE**

Access platform for internal mammary dissection

|                                    |   |  |
|------------------------------------|---|--|
| <b>FILING FEE RECEIVED</b><br>1054 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees                              |
|                                    |   | <input type="checkbox"/> 1.16 Fees ( Filing )                  |
|                                    |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |
|                                    |   | <input type="checkbox"/> 1.18 Fees ( Issue )                   |
|                                    |   | <input type="checkbox"/> Other _____                           |
|                                    |   | <input type="checkbox"/> Credit                                |